

12-18-01

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Please type a plus sign (+) inside this box → [+]

PTO/SB/05 (03-01)

Approved for use through 10/31/2002 OMB 0651-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications  
under 37 CFR 1.53(b))

Attorney Docket No.	8803
First Inventor	Robert Henry Rohrbaugh
Assignee	The Procter & Gamble Company
Title	Coatings For Modifying Hard Surfaces Processes For Applying The Same
Express Mail Label No.	ET405487807US

 J0978 U.S. PTO  
10/22/2006

12/13/01

## APPLICATION ELEMENTS

See MPEP Chapter 600 concerning utility patent application contents.

 ADDRESS TO: **Box Patent Application**  
**Assistant Commissioner for Patents**  
**Washington, D.C. 20231**

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original, and a duplicate for fee processing)
2. ☒ Specification Total Pages [62]  
(preferred arrangement set forth below)
  - Descriptive Title of the Invention
  - Cross References to Related Applications
  - Statement Regarding Fed sponsored R&D
  - Reference to sequence listing, a table, or a computer program listing appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
3. ☒ Drawing(s) (35 USC §113) Total Sheets [6]
4. Oath or Declaration Total pages ☐
  - a. ☐ Newly executed (original or copy)
  - b. ☐ Copy from a prior application (37 CFR §1.63(d))  
(for continuation/divisional with Box 17 complete)
    - i. ☐ DELETION OF INVENTORS  
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR §§1.63(d)(2) and 1,33(b).
5. ☐ Application Data Sheet. See 37 CFR §1.76
6. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
7. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
  - a. ☐ Computer Readable Form (CRF)
  - b. Specification Sequence Listing on:
    - i. ☐ CD-ROM or CD-R (2 copies); or
    - ii. ☐ Paper
  - c. ☐ Statement verifying identity of above copies

## ACCOMPANYING APPLICATION PARTS

8. ☐ Assignment Papers (cover sheet & document(s))
9. ☐ 37 CFR 3.73(b) Statement ☐ Power of Attorney  
(when there is an assignee)
10. ☐ English Translation Document (if applicable)
11. ☐ Information Disclosure ☐ Copies of IDS  
Statement (IDS)/PTO-1449 Citations
12. ☐ Preliminary Amendment
13. ☒ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
14. ☐ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)
15. ☐ Nonpublication Request under 35 U.S.C. 122(b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
16. ☐ Other: .....

 17. If a **CONTINUING APPLICATION**, check appropriate box and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR §1.76:

☐ Continuation ☐ Divisional ☒ Continuation-in-part (CIP) of several prior applications (see page 1 of application)

 Prior application information: Examiner: \_\_\_\_\_ Group/Art Unit: \_\_\_\_\_  
 For **CONTINUATION OR DIVISIONAL APPS** only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

## 19. CORRESPONDENCE ADDRESS

☒ Customer Number(Insert Customer No. here)  
27752

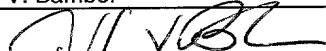
Name (Print/Type)

Jeffrey V. Bamber

Registration No. (Attorney/Agent)

31,148

Signature



Date

December 13, 2001

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(Revised for P&amp;G use 12/7/01)



# FEE TRANSMITTAL

## for FY 2002

Patent fees are subject to annual revision.

### Complete if Known

<b>FEE TRANSMITTAL</b> <b>for FY 2002</b> Patent fees are subject to annual revision.	<i>Application Number</i>	
	<i>Confirmation Number</i>	
	<i>Filing Date</i>	<b>December 13, 2001</b>
	<i>First Named Inventor</i>	<b>Robert Henry Rohrbaugh</b>
	<i>Examiner Name</i>	
	<i>Group/Art Unit</i>	
<b>TOTAL AMOUNT OF PAYMENT</b> <b>\$1106</b>	<i>Attorney Docket No.</i>	<b>8803</b>

### METHOD OF PAYMENT (check one)

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit Account Number **16-2480**Deposit Account Name **The Procter & Gamble Company**
☒ Charge Any Additional Fee Required Under status 37 C.F.R. §§1 16 and 1 17

### FEE CALCULATION

#### 1. BASIC FILING FEE – Large Entity

Code	(\$)	Fee Description	Fee Paid
<del>104</del> 740		Utility filing fee	<input checked="" type="checkbox"/>
<del>106</del> 330		Design filing fee	<input type="checkbox"/>
<del>108</del> 740		Reissue filing fee	<input type="checkbox"/>
<del>114</del> 160		Provisional filing fee	<input type="checkbox"/>

**SUBTOTAL (1)**     **\$740**

#### 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE – Large Entity

Total Claims	[31] - 20** =	[11] x	[18]	=	[198]
Independent Claims	[5] - 3** =	[2] x	[84]	=	[168]
Multiple Dependent	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	=	<input type="checkbox"/>

\*\* or number previously paid, if greater; For Reissues, see below

Code	(\$)	Fee Description
103	18	Claims in excess of 20
102	84	Independent claims in excess of 3
104	280	Multiple dependent claim, if not paid
109	84	**Reissue independent claims over original patent
110	18	**Reissue claims in excess of 20 & over original patent

**SUBTOTAL (2)**     **\$366**

### FEE CALCULATION (continued)

#### 3. ADDITIONAL FEES

Code	(\$)	Fee Description	Fee Paid
105	130	Surcharge-late filing fee or oath	<input type="checkbox"/>
127	50	Surcharge-late provisional filing fee or cover sheet	<input type="checkbox"/>
139	130	Non-English specification	<input type="checkbox"/>
147	2,520	For filing a request for <i>ex parte</i> reexamination	<input type="checkbox"/>
112	920*	Requesting publication of SIR prior to Examiner's action	<input type="checkbox"/>
113	1,840*	Requesting publication of SIR after Examiner's action	<input type="checkbox"/>
115	110	Extension for reply within 1 <sup>st</sup> month	<input type="checkbox"/>
116	400	Extension for reply within 2 <sup>nd</sup> month	<input type="checkbox"/>
117	920	Extension for reply within 3 <sup>rd</sup> month	<input type="checkbox"/>
118	1,440	Extension for reply within 4 <sup>th</sup> month	<input type="checkbox"/>
128	1,960	Extension for reply within 5 <sup>th</sup> month	<input type="checkbox"/>
119	320	Notice of Appeal	<input type="checkbox"/>
120	320	Filing a brief in support of an appeal	<input type="checkbox"/>
121	280	Request for oral hearing	<input type="checkbox"/>
138	1,510	Petition to institute a public use proceeding	<input type="checkbox"/>
140	110	Petition to revive - unavoidable	<input type="checkbox"/>
141	1,280	Petition to revive - unintentional	<input type="checkbox"/>
142	1,280	Utility issue fee (or reissue)	<input type="checkbox"/>
143	460	Design issue fee	<input type="checkbox"/>
122	130	Petitions to the Commissioner	<input type="checkbox"/>
123	50	Petitions related to provisional applications (37 C.F.R. 1.17(q))	<input type="checkbox"/>
126	180	Submission of Information Disclosure Statement	<input type="checkbox"/>
146	740	Filing a submission after final rejection (37 CFR § 1.129(a))	<input type="checkbox"/>
149	740	For each additional invention to be examined (37 CFR §1.129(b))	<input type="checkbox"/>
179	740	Request for Continued Examination (RCE)	<input type="checkbox"/>
169	900	Request for expedited examination of a design application	<input type="checkbox"/>
091	1280	Acceptance of unintentionally delayed claim for priority under 35 U.S.C. 119, 120, 121, or 365 (a) or (c)	<input type="checkbox"/>
		Other fee (specify) _____	<input type="checkbox"/>
		Other fee (specify) _____	<input type="checkbox"/>

 \* Reduced by Basic Filing Fee Paid     **SUBTOTAL (3)**     **(\$)** ☐

SUBMITTED BY		Complete (if applicable)	
Name (Print/Type)	<b>Jeffrey V. Bamber</b>	Registration No.	<b>31,148</b>
		(Attorney/Agent)	
Signature		Telephone	<b>(513) 627-4597</b>
		Date	<b>December 13, 2001</b>

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